

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Chase *et al.*

Serial No. 09/693,612

Filed: October 20, 2000

Title: *Apparatus and Method for Controlled
Cantilever Motion Through Torsional
Beams and a Counterweight*



Attorney Docket No. 9840-053-999

Date: April 30, 2001

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b) mailed December 13, 2000, attached please find a return copy of the Notice, an executed Declaration by the Inventors, a Power of Attorney by Assignee, and executed Assignment document to the Regents of the University of California, with Recordation Form Cover Sheet.

Applicant claims small entity status, see 37 C.F.R. § 1.27.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 009840-0053-999):

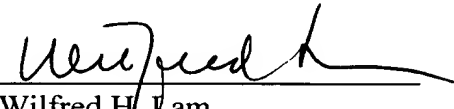
| | |
|---|-----------------|
| 1. Filing Fees | \$ 391.00 |
| 2. Petition for Extension of Time (3 months) | \$ 445.00 |
| 3. Assignment Recordation Sheet | \$ 40.00 |
| 4. Surcharge Fee for filing declaration on a date later than the filing date of the application. | <u>\$ 65.00</u> |

TOTAL \$ 941.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,


A handwritten signature in dark ink, appearing to read 'Wilfred H. Lam', is written over a horizontal line.

Wilfred H. Lam
Registration No. 41,923

For: Gary S. Williams (Reg. No. 31,066)
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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/693,612 | 10/20/2000 | Steven M. Chase | 9840-0053-999 |

Pennie & Edmonds LLP
3300 Hillview Avenue
Palo Alto, CA 94304



FORMALITIES LETTER



OC000000005618648

Date Mailed: 12/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 456.

05/04/2001 YPOLITE1 00000027 161150 09693612

01 FC:205 65.00 CH

A copy of this notice **MUST** be returned with the reply.

Paula Brill

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2001 YPOLITE1 00000037 161150 09693612

01 FC:201 355.00 CH
02 FC:203 36.00 CH